

TRADE-BASED MONEY LAUNDERING & FINANCING OF TERRORISM (TBML & FT)

Updated with Latest Issues, Guidance and Best Practices

The London Institute
of Banking & Finance

Accredited CDCS*
Learning Support Provider

Accreditation statement:
This learning support is accredited by The London
Institute of Banking & Finance as being appropriate
additional resource for students undertaking its
CDCS* qualifications.

The London Institute
of Banking & Finance

Qualified Trade
Finance Specialist



100%

HRD CORP CLAIMABLE
COURSE SCHEME BY PSMB

TRAINING PROGRAMME NO.
10001141996



Banks who have failed to have adequate AML, Sanctions & Trade-Based Money Laundering compliance in place have incurred penalty fines, reputational damage and faced the potential loss or suspension of their ability to operate in certain currency markets or jurisdictions.

TBML “Latest Updates” covering:

1. What are the important factors to take into considerations on Trade Compliance solutions and applications.
2. The IMPACT of Ukraine & Russia war in Trade Finance.
3. **How Russia drive global spike in dark STS transfer? Banks/FIs need to know this.**
4. Financial crime risk controls – Get to know the “**Latest Guide**”.
5. Price checking of goods and services in trade transactions – **Latest Guide in 2023 for Banks & FIs.**
6. Financial crime risk controls – “**Latest Guide**”.
7. Dual-use goods and proliferation financing.
8. Guidance on Proliferation Financing Risk Assessment and Mitigations - **Banks/FIs need to know this.**
9. **Detail Guide to Sanction Screening.**
10. TBML – A Global Challenge the Recommendations – **A MUST for Trade Compliance.**



TradeQuest
MANAGEMENT SDN BHD

The London Institute
of Banking & Finance

*“Registered with the LIBF as a course for the Certificate for Documentary Credit Specialist (CDCS),
Certificate for Specialist for Demand Guarantee (CSDG) & Certificate in Trade Finance Compliance (CTFC) Re-certification programme”*

Please email your completed registration form to wana@tradequest.com.my or fax it to +6 07-4332888.

Organizer : **TRADE QUEST MANAGEMENT SDN BHD** - Lines to Call: 016-753 3763 or 012-7848 988

Learning Objectives

Upon completion of the programme, participants will be able to:

- Define “Trade-Based Money Laundering”
- Understand the International threats related to Trade-Based Money Laundering and Terrorist Financing.
- Identify and conduct a risk assessment related to Trade-Based Money Laundering and Terrorist Financing.
- Learn the Anti-Money Laundering & Counter Terrorism Financing best practices.
- Improve abilities to identify, tackle the risks and to combat TBML&FT.
- Awareness about the latest Trade-Based Money Laundering trends.
- Equip to fight Trade-Based Money Laundering and protect the bank's interest.

Learning Contents

What is Trade-Based Money Laundering & Terrorist Financing?

- The international dimension of Trade-Based Money Laundering and Terrorist Financing
- What are the important factors to take into considerations on Trade Compliance solutions and applications.
- The IMPACT of Ukraine & Russia war in Trade Finance.
- **How Russia drive global spike in dark STS transfer?**
- Financial crime risk controls.
- Examples of Trade-Based Money Laundering and Terrorist Financing and good practice/poor practice
- What are the “Latest Guide” guidance available to assist?
- What are the challenges to preventing, detecting and investigating Trade-Based Money Laundering and Terrorist Financing?
- The impact of Trade-Based Money Laundering on Import LCs, Export LCs, Bonds/Guarantees, Invoice Financing, Dual-Use Goods

Prevention

- Trade-Based Money Laundering & Terrorist Financing – a ‘risk assessment’ of products, geography, customers, segments
- Trade-Based Money Laundering Controls
- Price checking of goods and services in trade transactions – **Latest Guide in 2023 for Banks & FIs.**
- Financial crime risk controls – “**Latest Guide**”.
- Dual-use goods and proliferation financing.
- Guidance on Proliferation Financing Risk Assessment and Mitigations - **Banks/FIs need to know this.**
- **Identifying suspicious and unusual activities related to trade finance transactions**
- The role of Due Diligence in the TBML/TF process – who is the customer, KYCC, connected parties
- Consideration of Money Laundering/Trade Finance in product development
- Relationship of Trade-Based Money Laundering and Terrorist Financing with Fraud
- **Sanction Screening**

Trade-Base Money Laundering Controls

Detection and Investigation

- Monitoring – red flags, “eye balling” of documents, automation (pre/post transaction)
- Recording and Management of ‘suspicious activity’
- Internal Investigations
- Specific Case study
- **FATF’s best practices paper & recommendations**
- **Detail Guide to Sanction Screening.**
- TBML – A Global Challenge the Recommendations – **A MUST for Trade Compliance**

Target Audience

Trade Processing Staff, Trade Managers, Risk Team, Auditors, AMLA Department, Compliance Team, Relationship Managers, Credit & Documentation Officers, Existing CDCS, CSDG and CTFC holders.

Training Methodology

Stimulating Lecture, Analytical Learning through Exercises, FAQ, Case Studies, Group Discussions, Sharing Experience.

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Expert Course Director



Haji Muhammad Imran Raja

[AIBM (Sr), MBA Finance (MMU), CDCS, CSDG, CTFC, QTFS, MLIBF]
mdimran@tradequest.com.my



Certified Documentary Credit Specialist, CDCS
Certificate for Specialists in Demand Guarantee, CSDG
Certificate in Trade Finance Compliance, CTFC
Qualified Trade Finance Specialist, QTFS
Certified Trainer for HRDF (Human Resource Development Fund)
Diploma in Banking and Finance offered by IBBM
Diploma for Qualified Trade Finance Specialists (QTFS)
MBA Finance by Multimedia University (MBA Finance)
Advisor for Special Project on Certified in Document Checking Specialist, CiDCS
Appointed member of Disciplinary Board for BAR Council Malaysia
Associate Member of Association of Shariah Advisors in Islamic Finance Malaysia
Founder for Special Project on Certified Trade Finance Specialist, CTFS
Member of ICC Malaysia
Member of ICC Malaysia - Banking Accreditation Committee
Member of ICC Malaysia - Banking Technical Committee
Member of Technical Advisory Briefing
Member of the London Institute of Banking & Finance, MLIBF
Panel Reviewer for Professional Banker Examination by AICB, Asian Institute of Chartered Bankers
Panel Trainer for IBFIM, Islamic Banking & Finance Institute Malaysia
Panel Trainer for Professional Banker Qualification for AICB, Asian Institute of Chartered Bankers
Panel Trainer for training programmes for ABS, Asian Banking School Sdn Bhd
Senior Associate of Institute Bankers of Malaysia, AIBM (Sr)

He is a Certified Documentary Credit Specialist (CDCS), Certified Specialists in Demand Guarantee (CSDG), Certified Trade Finance Compliance (CTFC), Qualified Trade Finance Specialist (QTFS) and a Senior Associate of IBBM. He also holds a Master Degree in Business Administration specializing in Finance from the Multimedia University. In addition, Mr. Imran has been appointed as Member of the Investigating Tribunal Panel for Advocates & Solicitors Disciplinary Board (Bar Council Malaysia) since 2005.

Haji Imran has more than 28 years of experience in the banking and finance industry.

He is a current practitioner and has vast experience in conducting various in-house and public programmes on Letter of Credit, Maximise use of Trade Finance Facilities, Operational Issues on Bills of Lading, Demand Guarantees, How to an Effective Trade Managers, Risks in International Trade Finance, Structured and Warehouse Trade Financing, Certified Documentary Credit Specialist in International Trade Finance and many more. He had conducted numerous trade finance programmes for financial institutions, banks, MNCs and SMEs. He uses mind mapping, practical examples and accelerated learning methods in his training.

He has worked with various international banks since 1990 which includes Bank of New York, HSBC and SCB. He was appointed as Head of Training and Development for Scope International (M) Sdn Bhd in order to set-up the TTD (Technical Training & Development). As the Senior Manager he has managed Trade Businesses Operations covering Asia Pacific region, Oman, Qatar, UK and US. Based on his exposure and experience, he has developed the Certified Trade Finance Specialist for practioneers to acquire competencies, knowledge and securing a job in Trade Services related industry.

Additionally, Haji Imran is a panel trainer for Asian Banking School Sdn Bhd (previously known as Institute Bankers Malaysia since 2000) and panel reviewer and trainer for Professional Banker qualifications. He also acts as a Special Advisor for Fadilah & Hood, a local law firm, in the areas of International Trade Finance and Banking Operations.

Trade Quest Management Sdn Bhd (TradeQuest) awarded as **ALSP**

- Accredited Learning Support Provider by LIBF, London Institute Banking and Finance, UK.

Please email your completed registration form to wana@tradequest.com.my or fax it to +6 07-4332888.

Organizer : TRADE QUEST MANAGEMENT SDN BHD - Lines to Call: 016-753 3763 or 012-7848 988

Programme Registration Form

TERMS & CONDITIONS

For attendance less than 75%, TradeQuest will bill the bank/company directly for the full amount.
For non-attendance programme under HRD Corp grant approval, we will charge an administrative fees and hotel charges amounting to RM500-00.
The said amount will be billed to the bank directly.

FEES

The programme is subject to 6% SST.

CONFIRMATION

We will issue you an email to confirm receipt of your registration form followed by an invoice. An email notification with the programme details will be sent nearing the scheduled programme date.

IDENTIFYING INFORMATION

Information collected in this form is used for registration purposes by Trade Quest Management Sdn Bhd for training.

NO REFUND will be made for non-attendance on the day. We welcome a substitute participant if you cannot attend.

TRADE QUEST MANAGEMENT SDN BHD RIGHTS AND RESPONSIBILITIES

Trade Quest Management Sdn Bhd reserves the right to change, reschedule or cancel a programme if necessary. In such a case, we will make every effort to notify you and arrange for refund where applicable.



Please return your completed form by email to wana@tradequest.com.my or fax it to **+607-4332888**

PROGRAMME DETAILS

Programme name: Trade-Based Money Laundering & Financing of Terrorism (TBML & FT)

Date:

Venue:

Please register the following participant(s). (If space is insufficient, attach a separate list)

| No. | Name | Designation | H/P No. | Email |
|-----|------|-------------|---------|-------|
| 1. | | | | |
| 2. | | | | |
| 3. | | | | |
| 4. | | | | |
| 5. | | | | |

PAYMENT

By cheque

Please send your cheque made payable to "Trade Quest Management Sdn Bhd" along with a copy of the completed Registration Form to the following address:

No. 19A, 1st Floor, Jalan Kundang 1, Taman Bukit Pasir, 83000 Batu Pahat, Johor Darul Takzim.

By bank transfer

Please ensure that you send a copy of the pay-in slip / remittance advice along with a copy of the completed Registration Form by fax to 07-4332888 or email to wana@tradequest.com.my

Bank Account details:

A/C Name : Trade Quest Management Sdn Bhd
A/C No. : 888-101-380820-8

Bank : AmBank Islamic Berhad
Address : 178, Jalan Rugayah, 83000 Batu Pahat, Johor.
SWIFT : ARBKMYKL

HRD CORP CLAIMABLE COURSE SCHEME BY PSMB.

TRAINING PROGRAMME NO: 10001141996

Note: Please be guided by HRD Corporation instructions on training grant applications.

Non-compliance will result to non-payment by HRD Corp and the employer will be personally liable.

Course fees : RM + 6% SST

Note :

CANCELLATION POLICY: Cancellation must be in writing to Trade Quest Management Sdn Bhd. Registration may be transferred to another person at no extra charge.

The refund policy is: Within 2 days of event - no refund. Between 3-6 days - 50% refund. 7 days prior to event - full refund.

Submitted by the authorized person:

Name : Designation :

Company :

Address :

Tel No. : E-mail :

Authorize Signature(s) and Company Rubber Stamp

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